



HO: Inspection and Audit Division  
Plot No. 5, Institutional Area, Sector-32, Gurugram-122001

**Corrigendum 1: RFP for Appointment of IS Auditor for Information System Audit and Security cum Functional Audit of Application Softwares**

SL No .	RFP Page No.	Reference # in RFP	Existing RFP Clause	Amended Clause
1	10	Clause 2.2 (b) Minimum Eligibility Criteria	Should not be involved in Information Systems & Security Audit of the Punjab National bank for last two financial years.	Should not be involved in Information Systems & Security Audit on regular basis (cyclic audits) of the Punjab National bank for last two financial years.
2	11	Clause 2.2 (e) Minimum Eligibility Criteria	Should be an Indian Company /Firm /Organization /independent subsidiary with an average annual turnover of Rs.10 Crore or more for the last three financial years and should be in net profits in last two financial years and should have registered office in India.	Should be an Indian Company /Firm / /Limited Liability Partnership (LLP) Firm/ Organization /Independent subsidiary with an average annual turnover of Rs.10 Crore or more for the last three financial years and should be in net profits in last two financial years and should have registered office in India.
3	11	Clause 2.2 (f) Minimum Eligibility Criteria	Should have conducted minimum 2 Information Systems Security audits of any bank's data center connected with a minimum 750 offices, in last three years, out of which one audit should be in a public sector bank in India. Conduct of Information Systems Security audit, as per point f, shall constitute (but not be limited to): i) IT risk exposures throughout the Bank,	Should have conducted minimum 2 Information Systems Security audits of any bank's data center connected with a minimum 500 offices, in last five years, out of which one audit should be in a public sector bank in India. Conduct of Information Systems Security audit, as per point f, shall constitute (but not be limited to): i) IT risk exposures throughout the Bank,

			<p>including the areas of IT management &amp; strategic planning, data centre (DC &amp; DRS) operations, client/server architecture, local &amp; wide area networks, telecommunication, physical &amp; information security, electronic banking, system development and business continuity planning.</p> <p>ii) Vulnerability assessment and penetration test [VAPT] of servers /security equipment/ network equipment/ Applications through intranet.</p> <p>iii) External attack and penetration test [EAPT] of equipments &amp; applications exposed to outside world through internet.</p> <p>iv) Verification of compliance of Systems and procedures as per Organization's IT Security Policy/ guidelines. (Conduct of audit of any one activity will not be considered as complete IS Audit of data center etc.)</p> <p>v) Provide steps and recommendations for compliance of the observations to mitigate the risks.</p>	<p>including the areas of IT management &amp; strategic planning, data centre (DC &amp; DRS) operations, client/server architecture, local &amp; wide area networks, telecommunication, physical &amp; information security, electronic banking, system development and business continuity planning.</p> <p>ii) Vulnerability assessment and penetration test [VAPT] of servers /security equipment/ network equipment/ Applications through intranet.</p> <p>iii) External attack and penetration test [EAPT] of equipments &amp; applications exposed to outside world through internet.</p> <p>iv) Verification of compliance of Systems and procedures as per Organization's IT Security Policy/ guidelines. (Conduct of audit of any one activity will not be considered as complete IS Audit of data center etc.)</p> <p>v) Provide steps and recommendations for compliance of the observations to mitigate the risks.</p>
4	11	Clause 2.2 (h) Minimum Eligibility Criteria	<p>Should have at least 25 qualified professionals on permanent roll of the firm during the course of Audit fulfilling all the following conditions.</p> <p>(i) Degree from Govt. recognized reputed Universities / Institutions as BE / B.Tech / ME/ M.Tech /MCA /MBA (Information System)/ C.A. (ICAI).</p> <p>(ii) Certifications as CISA /CEH/ CISSP / CHFI/ CISM/ CGEIT/ Sun Certified Security Administrator (SCSECA) / OCE (Oracle</p>	<p>Should have at least 25 qualified professionals on permanent roll of the firm during the course of Audit fulfilling all the following conditions.</p> <p>(i) Degree from Govt. recognized reputed Universities / Institutions as BE / B.Tech / ME/ M.Tech /MCA /MBA (Information System)/ C.A. (ICAI).</p> <p>(ii) Certifications as CISA /CEH/ CISSP / CHFI/ CISM/ CGEIT/ Sun Certified Security</p>

			<p>Certified Expert – Security Administrator)/OSCP/ Cisco CCIE-security. <b>At least 10 qualified auditors should have CISA/CISSP certification and 2 with CHFI certification.</b></p> <p>(iii) Post qualification (as per h- (i) above) IS Audit Experience of 2 or more years including at least one IS Audit for any organization defined at (f) above and should be on permanent roll of the organization.</p>	<p>Administrator (SCSECA) / OCE (Oracle Certified Expert – Security Administrator)/OSCP/ Cisco CCIE-security/CCSP. <b>At least 10 qualified auditors should have CISA/CISSP certification and 2 with CHFI certification.</b></p> <p>(iii) Post qualification (as per h- (i) above) IS Audit Experience of 2 or more years including at least one IS Audit for any organization defined at (f) above and should be on permanent roll of the organization.</p>
5	32	Annexure A, 1(b) Regular IS Audits	New Addition	Provide assurance and conduct audit for any future cloud initiatives undertaken by the Bank.